

EZ-Broker**Internet based solution for all phases of the structured settlement process**

- ▶ One time input or download of case data
- ▶ Can be used online or offline
- ▶ Diary functionality includes automatic reminders
- ▶ Interfaces with embedded life company software for quoting and illustration
- ▶ Proposal management systems allows full customization
- ▶ Integrated post-settlement document processing
- ▶ Modification of documents as permitted by life companies
- ▶ Save print, fax and e-mail capabilities for any documents within the software
- ▶ Integrated life case plans and economic loss analysis through StructureOnline partners
- ▶ Automatic referral of cashouts and upfront cash to designated Financial Planners
- ▶ Data archiving and reporting available through StructureOnline
- ▶ Interfaces with broker company systems

EZ-Lead (EZ-Broker included)**Employs data mining and knowledge technology to identify structure candidates in claims systems**

- ▶ Electronic interface with claims systems
- ▶ Automatic analysis of claims to identify structure opportunities
- ▶ Knowledge based system proposes appropriate structure products
- ▶ Claims users can propose structure programs or forward referrals to brokers

EZ-Reports (for Brokers)**Full-featured reporting module for EZ-Broker****Local:**

- ▶ Full sort and selection criteria (e.g. by life company, client-broker)
- ▶ Open cases
- ▶ Settled cases
- ▶ Closed cases
- ▶ Premium and/or commission totals
- ▶ Case related assignments for support staff
- ▶ Many more will be defined and developed as per industry need

Remote:

- ▶ Any Reports out of the Archived Data
- ▶ Many more will be defined and developed per industry need

Fig 1 A

EZ-Reports (for Life Companies)**Full-featured reporting module for Life Company Executives**

- ▶ Full sort and selection criteria (e.g. by broker company, individual broker insurer)
- ▶ Premium and number of cases funded
- ▶ Cases quoted
- ▶ Premium by structure product
- ▶ Cases accepted/rejected under partnership arrangements (last right of refusal)
- ▶ Cash referred to financial planners
- ▶ Many more will be defined and developed as per industry need

EZ-Updates**Internet-based support for delivery of life company software, updates and rate changes**

- ▶ All functions are provided through the StructureOnline portal
- ▶ Download of life company software by authorized parties
- ▶ Distribution of software upgrades and patches
- ▶ Distribution of rate changes
- ▶ Automatic notification of updates to EZ-Broker users

StructureOnline Services**EZ-Sync****Allows full-use of StructureOnline's broker services by broker companies, individual brokers and support staff**

- ▶ Allows brokers to download portable version of EZ-Broker for use offline
- ▶ Brokers and support staff can synchronize their work, data, schedule, diary cases, etc.
- ▶ Software updates are executed automatically
- ▶ Rate changes are made automatically

EZ-Support**StructureOnline help desk for life companies and brokers**

- ▶ Technical support for life company software
- ▶ Support for life products
- ▶ Maintain latest life company software on the web for download
- ▶ Maintain latest software patch for life company, software on the web for download
- ▶ Maintain rate changes on the web as publication
- ▶ Other services based on user demand

Alternative Dispute Resolution Provider Affiliation**Brings a new source of cases to the structure industry**

- ▶ Custom templates for ADR web pages
- ▶ Allows structured settlements to be offered as part of the ADR process
- ▶ Structure products from participating life companies offered through StructureOnline
- ▶ Referral of cases to participating brokers facilitated by StructureOnline

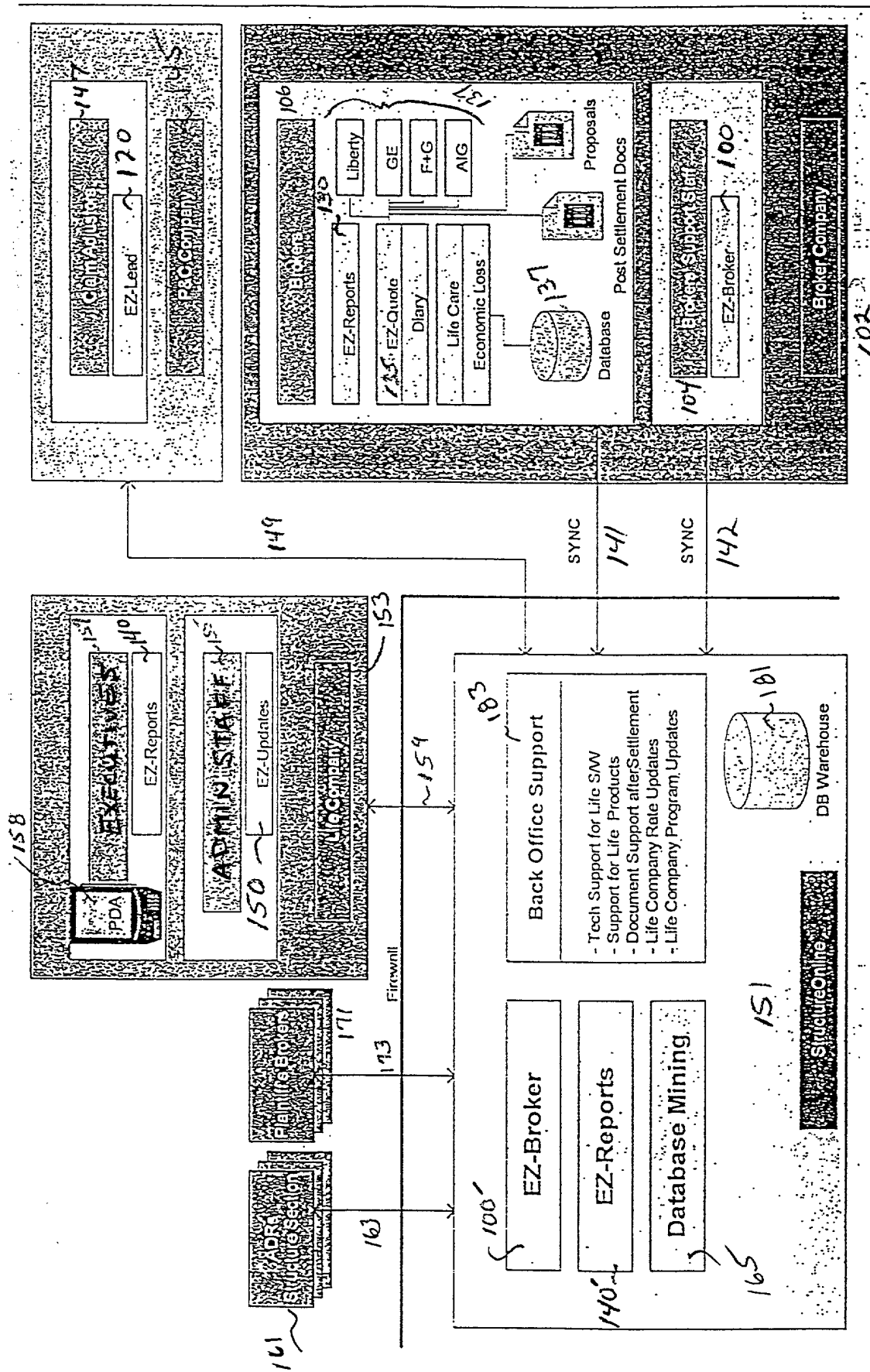


FIG. 2

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Online Broker (Web version of EZ-Broker)**Allows easy access to structure products to qualifying professionals, generates new structure business**

- ▶ Service offered to qualified parties via the Internet
- ▶ StructureOnline facilitates company approval and licensing issues

FIG. 1C

Creating a New Case

- **Mandatory Field Requirements for opening a case**
 - Case Caption
 - Broker and Broker of Record

FIG 3

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Creating a New Case (continued)

- Add / Edit Insurer Client Information from Client Tab

- National Account Check Box for narrowing your search

- Mandatory fields for Insurer
 - Insurer Company Name
 - Date of Case Receipt

FIG 4

Creating a New Case (continued)

- Add / Edit Law Firm Client Information from Client Tab

- Mandatory fields for Law Firm
 - Attorney Type
 - Law Firm Name
 - Attorney Name

FIG 5

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Creating a New Case (continued)**▪ Add / Edit TPA Client Information from Client Tab**

- **Mandatory fields for TPA**
 - TPA Company Name

FIG 6

Creating a New Case (continued)**▪ Add / Edit Other Client Information from Client Tab**

- **Mandatory fields for Other Client**
 - Other Company Name

FIG 7

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Creating a New Case (continued)

▪ Add / Edit Claimant Information from Claimant Tab

FIG 8

Creating a New Case (continued)

▪ Mandatory fields for Claimant

- First Name and Last Name
- Date of Birth and Age

▪ Contact Details

▪ Personal Details

▪ Case Details

▪ Bank Information

FIG 9

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Creating a New Case (continued)

- Add / Edit Insured Defendant Company Information from Defendant Tab

- Mandatory fields for Insured Defendant
 - Company Name

FIG 10

Creating a New Case (continued)

- Add / Edit Plaintiff Attorney Information from Attorney Tab

- Mandatory fields for Plaintiff Attorney
 - Attorney Type
 - Law Firm
 - Attorney Name

FIG 13

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Creating a New Case (continued)

- Add / Edit Insured Defendant Individual Information from Defendant Tab

Caseinfo Client Claimant **Defendant** Attorney Insurer Broker Payee Fact sheet

Select Defendant

Insured Type: Insured Defendant Type: Individual

Search Name: Search: []

Select Defendant: Select

Policy Number: Policy Amount: []

Notes: []

Add Cancel

- Mandatory fields for Individual Insured Defendant
 - Defendant Type

FIG 11

Creating a New Case (continued)

- Add / Edit Self Insured Defendant Information from Defendant Tab
- Mandatory fields for Self Insured Defendant
 - Company name

Caseinfo Client Claimant **Defendant** Attorney Insurer Broker Payee Fact sheet

Select Defendant

Insured Type: Self Insured

Search Name: Search: []

Select Company: Select Select Branch: Select

Select Contact: Select

Policy Number: Policy Amount: []

Notes: []

Add Cancel

FIG 12

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Creating a New Case (continued)▪ **Add / Edit Defense Attorney Information from Attorney Tab**

▪ **Mandatory fields for Defense Attorney**

- Attorney Type
- Law Firm
- Attorney Name

FIG 14

Creating a New Case (continued)▪ **Add / Edit Insurer Information from Insurer Tab**

▪ **Mandatory fields for Insurer**

- Company Name

FIG 15

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Creating a New Case (continued)▪ **Add / Edit Broker Information from Broker Tab**

▪ **Mandatory fields for Broker**

- Broker Company Name
- Broker Name

FIG 16

Creating a New Case (continued)▪ **Add / Edit Payee Information from Payee Tab**▪ **Mandatory Fields**

- First Name
- Last Name

FIG 17

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Creating a New Case (continued)

▪ Add / Edit Beneficiary Information from Payee Tab

▪ Mandatory Fields

- Name

FIG 18

Managing your Diary

▪ Types of Companies

- Assignment Company
- Broker Company
- Insured Defendant
- Insurance Company
- Law Firm
- Life Company
- Other
- Self Insured Defendant
- TPA

FIG 19

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Managing your Diary

- Open your Diary & Search & Sort / Add a Company by Type

- View Cases related to Company from search result

FIG 20

Managing your Diary

- Categories of Companies
 - Public
 - Private

FIG 21

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Managing your Diary (continued)

• Contact Listing at each Level

Branch List	Add New Branch	Contact List	Add New Contact
Name	Phone	Address	
Angel Vera	323-869-3637	500 Citadel Drive2nd Floor City of Commerce	Commerce, CA - California 90040
Carole Carlson	806-674-8367	302 W. Main Street Suite 103	Avon, Ct. Connecticut 06001
Chuck Berry	918-461-2084	7341 East 75TH Street	Tulsa, OK - Oklahoma 74133-2815
Edward Whalen	810-733-7315	2503 S. Linden Road	Flint, MI - Michigan 48532
Jacqueline Viola	636-938-1249	607 Vista Hills Court	Eureka, MO - Missouri 63025
John Stanford	770-951-0696	6445 Powers Ferry Road #195	Atlanta, GA - Georgia 30339
Joseph Long	215-343-7244	350 S. Main Street Suite 209	Doylestown, PA - Pennsylvania 18901

FIG 22

Managing your Diary (continued)

• Remember to use <Type = Company>, if desired to show under Drop Down List

Broker -> Company -> Structured Financial Associates << Back to Main

Company Type Category: Company Name: Company Code Group Name

Public Public Structured Financial Assoc SFA Select Group

Address

Address1 320 N Charles Street City Baltimore Zip 21201

Address2 Suite 400 State MD - Maryland Country United States

Communication

Phone 410-547-0112 Fax 410-547-2705

Email

URL

Save Cancel

FIG 23

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Managing your Diary (continued)

- Search Contact by First Name, Last Name and/or Type

FIG 24

Managing your Diary (continued)

- Sort searched contacts using arrows

▲ Last Name ▼	▲ First Name ▼	▲ Type ▼	Company	Related Cases
Felahi	Aldro	Broker	Structured Financial Associates	None
Esq	A.D.	Payee	Structured Financial Associates	None
Eodhi	Aamna	Payee	Structured Financial Associates	View Cases
MEZGEBE	AARON	Claimant	Structured Financial Associates	None
Bowers	Aaron	Claimant	Structured Financial Associates	None
BROWN	AARON	Claimant	Structured Financial Associates	None
BRUMFIELD	AARON	Claimant	Structured Financial Associates	None
BRUNELL	AARON	Payee	Structured Financial Associates	None
Burke	Aaron	Claimant	Structured Financial Associates	None
CHAVEZ	AARON	Claimant	Structured Financial Associates	None

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FIG 25

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Managing your Diary (continued)

- View cases related to Contact from search result

▲ Last Name ▼	▲ First Name ▼	▲ Type ▼	Company	Related Cases
Wallman	Achim	Broker	Diversified Settlements, Inc.	None
Swabson	Alan	Broker	Structured Security Co.	None
Frisbee	Alice	Broker	Structured Security Co.	View Cases
Cooper	Alice	Broker	Brant Hickey & Associates	None
Hull	Allan	Broker	Structured Security Co.	None
Richardson	Allan	Broker	The Alliance Settlement Cos.	None
Paul	Amy	Broker	Summit Settlement Services	None
Fisher	Andrew	Broker	Settlement Options	None
Hull	Andrew	Broker	Settlement Associates & Insurance Services, Inc.	None
Viera	Angel	Broker	American Settlement Corp.	None

- Contact Category

- Public
- Private

FIG 26

Managing your Diary (continued)

- Check Box for
 - Case Coordinator in Life Company
 - Broker in Broker Company
 - Attorney in Law Firm
 - Claim Adjuster in Insurer / TPA
 - Primary Contact in All Contacts

Full Contact Information -> Broker -> Structured Financial Associates

Contact Category: ☒ Public ☐ Private ☐ Primary Contact

Prefix: First Name: Middle Name: Last Name: Suffix: Title:

Address:

Address1: Address2: City: State: Zip:

Address3: Address4: Address5:

Communication:

Phone: (Day) Phone: (Eve.) Cell:

Fax: Email:

Communication Type: ☒ Structure ☐ Email ☐ Fax

Notes:

Save Cancel

FIG 27

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Managing your Diary (continued)

- Communication Type Check Box
 - ◊ StructureOnline Exchange
 - ◊ Fax
 - ◊ Email

Edit Contact Information -> Broker -> Structured Financial Associates

Contact Category: ☐ Private ☒ Broker ☐ Primary Contact

Prefix: First Name: Middle Name: Last Name: Suffix: Title:

Address: City: Zip:

Address: State: Country:

Communication

Phone: (Day) Phone: (Even) Cell:

Fax: Fax:

Email:

Communication Type: ☒ StructureOnline ☐ Email ☐ Fax

Notes:

Save Cancel

FIG 28

Managing your Diary (continued)

- Tasks – Open, Close, Details, Calendar

EZ-Broker

New Case Case Management Reports Sync Administration

Company Contacts Tool Associate Fax

Date: 1/7/2002

Self Task

Subject: Call Plaintiff for date of birth

Status: Open

22 January 2002

Sun	Mon	Tue	Wed	Thurs	Fri	Sat
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30	31		

OK Today

Open Tasks Closed Tasks

Open Tasks

Subject

Pls Advise that the broker...

Please have broker Give the...

Generate a quote & follow.

FIG 29

- **Composing Non-case related task**
 - From Home Page -> Compose
 - From Diary -> Task -> Compose

Enter New Task

Subject: Call Cleanart for Date of Birth

Due date: 1/2/2002 Status: Open

Start date: 1/2/2002 Priority: High

Details: Call Cleanart for Date of Birth and the Birth Certificate

Attachment: S:\MS\12200234903PM.doc

Add Cancel

FIG 30

- Tracking visits to Clients (National Accounts only)
 - Name of the Insurance Company / Branch
 - Date of the Last Visit
 - Purpose
 - Next visit schedule
 - Cases Received
 - Notes

Insurance Company Visit (x) Entry

Select Company
Search Group

Search Company

Search

Branch / Code

Select Company

Select Branch

First Visit

Address

Introduction

Next Visit

Number of Copies Received

Search Associate

Notes:

Adrian Warren

Luke John

Susan Donald

Swagart Jeffrey

Add Cancel

Year	Remarks for the Visit	Date	Agent	Activity	Next Visit	Remarks
1/1/2002	Insurance Company / Branch / Broker	January	Adrian Warren	Introduction	2/1/2002	0
2/1/2002	American States Ins Co of TX Broker: Susan Donald		Adrian Warren	Booked Cases	1/1/2002	0
2/1/2002	Revelers Indemnity Co of TX Broker: Swagart Jeffrey		Adrian Warren	File Review	12/1/2002	0
2/1/2002	SAFECO National Insurance Co Broker: Luke John		Adrian Warren	Introduction	2/1/2002	0
2/1/2002	Freemantle Broker: Adrian Warren		Adrian Warren	Advance Training	3/2/2002	0
2/1/2002	Brussels Quilley		Adrian Warren			
2/1/2002	Alliance of America, the Broker: Adrian Warren		Adrian Warren			
2/1/2002	GRUIN AND FORSTER NATIONAL Broker: Swagart Jeffrey		Adrian Warren			

FIG 31

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Searching for an existing Case (continued)

- From Home Page

- Most recently worked on 10 cases

Case No.	Caption	Date of Loss	Status
SFASuper1219200133827AM	Paul Vs Manor Care	12/10/1998	LEAD ACCEPTED
SFASuper1219200133459AM	Ann Vs Loves	8/9/2000	LEAD ACCEPTED
SFAmeny1217200181403PM	Shirley Vs UPS	10/12/1999	LEAD ACCEPTED
SFASuper1219200133551AM	Saran Vs Timberline Inc	12/10/2001	LEAD ACCEPTED
SFASuper1219200133248AM	Chns Vs Oracle Corp.	4/9/1998	LEAD ACCEPTED
SFASuper1218200191943AM	Larry Vs Hands & Associates	5/7/2001	LEAD ACCEPTED
SFASuper1212200111904AM	Tomothy Vs United Airlines	11/10/2000	LEAD ACCEPTED
SFASuper12172001103120PM	Chns Vs General Electric	3/8/2001	LEAD ACCEPTED
SFASuper1219200150225AM	Larry Vs Whirlpool	10/12/2001	LEAD ACCEPTED
SFASuper1217200144137PM	Charles Vs EMC	4/5/1999	LEAD ACCEPTED

[Click here for more...](#)

- Based on Past Due Tasks

Date	Case	Subject	Priority
11/10/2001	UHALT, CHARLES	Quote Followup - uhalt	
12:55:00 PM	UHALT, CHARLES	Quote Followup - uhalt	
11/10/2001	UHALT, CHARLES	Quote Followup - uhalt	
12:40:00 PM	UHALT, CHARLES	Quote Followup - uhalt	
11/08/2001	FUENTES, ALEX	Quote Followup - Fuentes	
2:23:00 PM	FUENTES, ALEX	Quote Followup - Fuentes	
11/08/2001	FUENTES, ALEX	Quote Followup - Fuentes	
2:21:00 PM	FUENTES, ALEX	Quote Followup - Fuentes	
10/31/2001	GRABER, MICHELLE	test	
10/26/2001	PEACE, SHEILA*	Quote Followup - sheila	
3:06:00 PM	PEACE, SHEILA*	Quote Followup - sheila	
10/24/2001	YAMADA, KERRY	Quote Followup - kerry	
5:06:00 PM	YAMADA, KERRY	Quote Followup - kerry	

FIG 32

Searching for an existing Case (continued)

- From Case Management

- Multiple Search Criterion

EZ-Broker

Search by Name
 Case Caption:
 Case Number:
 Client:
 Broker:
 Insurer:
 Defendant:
 Class:

Search by Case Type
☐ Commercial
☐ Other
☐ Personal
☐ Unknown
☐ Workers Compensation

Search by Case Status
☐ LEAD ACCEPTED
☐ CASHED
☐ SS QUOTED
☐ SS QUOTE LOCK IN
☐ SS QUOTE ACCEPTED
☐ SS QUOTE VERIFIED
☐ SS DOCUMENTS VERIFIED
☐ SS QUOTE FUNDED
☐ PAST PAYMENT MADE
☐ COMMISSION RECEIVED
☐ COMMISSION REFUNDED

FIG 33

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Searching for an existing Case (continued)

- From Diary
 - Based on Company of any Type

FIG 34

Searching for an existing Case (continued)

- From Diary
 - Based on Contact Person of any Type

FIG 35

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Generating a Quote for Fully Integrated Life Company (continued)

- Select Claimant & Life Company; Click "Enter Quote Data"

Quote for case -> Larry vs Whirlpool

Select Preferred Tool and Program to Generate a New Quotation

Claimant: Sanders John

Select Tool: Allstate Life Insurance Company

Buttons: Generate Quote, QIB Import, Enter Quote Data

FIG 36

Generating a Quote for Fully Integrated Life Company (continued)

- Select the Rate Series; Save the Quote

Quote for case -> Larry vs Whirlpool

Allstate

Quote Modified:

Quote Name: JOHNSANDERS/2002 Created: 1/15/2002 Expires: 1/22/2002 Purchase: 01/15/2002

Rate Series: Effective: 1/1/2002 State: CT - Connecticut Tax:

Assignment Company: Allstate Assignment Company Policy #: Policy Date:

John Sanders | Upfront Cash:

DOB: 01/01/1960 Age: 22 Sex: Male Life Exp: R Age: 22 Sur %: 100

Kirk Hall:

DOB: 12/24/1971 Age: 31 Sex: Male Life Exp: R Age: 31 Sur %: 100

Status Information:

Special Life Co. Approval: Rate Lock-in: Accepted date: Verified date:

Notes:

Save Cancel

FIG 37

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Generating a Quote for Fully Integrated Life Company (continued)

- Start adding benefits & click "Calculate"; Save at the end

Quote Modified: 1/15/2002
 Quote Name: JOHNSANDERS/2002
 Created: 01/15/2002
 Expires: 01/22/2002
 Purchase: 01/15/2002

Rate Bank: 1/1/2002
 Effective: 1/1/2002
 State: CT - Connecticut
 Tax: 7.5%

Assignment: Select one
 Company: Select one
 Policy: Select one
 Date: Select one

John Sanders I Upfront Cash: \$10,000.00
 DOB: 01/01/1980 Age: 22 Sex: Male Life Exp: 100 R. Age: 22 Sur. %: 100

Kirk Hall I Upfront Cash: \$7,380.00
 DOB: 01/01/1971 Age: 31 Sex: Male Life Exp: 100 R. Age: 31 Sur. %: 100

Statute Information:
 Special Life Co. Approval: ☐ Rate Lock-In: ☐ Accepted date: Verified date:

Notes:

Add New Benefit:
 Benefit Name: Amount: Mode: Cost (%):

Term Life: ☐ Amount: 1,000.00 Mode: Monthly Cost (%): 100
 Start Date: 01/01/2002 End Date: 01/01/2003
 Expected: Premium:

Buttons: Save, Cancel, Add, Calculate

FIG 38

Generating a Quote for Partially Integrated Life Company (continued)

- Select Claimant & Life Company; Click "Generate Quote"

Quote for case -> Larry vs. Whirlpool

Select Preferred Tool and Program to Generate a New Quotation

Claimant: Hall Mike
 Select Tool: Liberty Life Assurance Co. of Boston

Buttons: Generate Quote, QIB Import, Enter Quote Data

FIG 39

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Generating a Quote for Partially Integrated Life Company (continued)

- QIB Tool is opened now; Click File -> Import; Select file C:\QIBImpExp\filename.exp

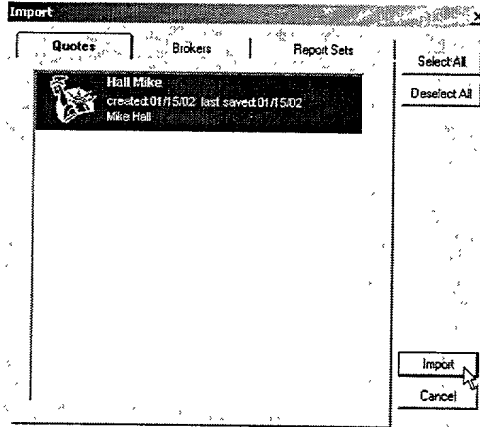


FIG 40

Generating a Quote for Partially Integrated Life Company (continued)

- Quote data has been Imported now; Click File -> Open Quote -> Edit

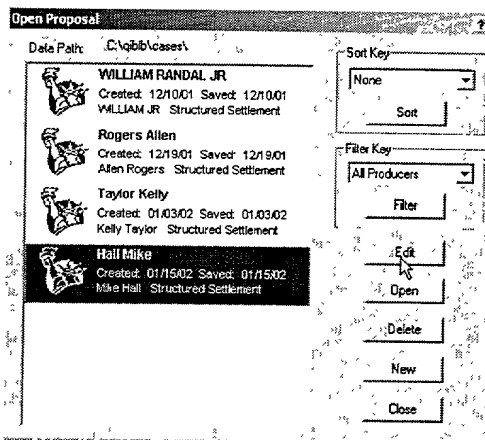


FIG 41

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Generating a Quote for Partially Integrated Life Company (continued)

- Check all imported information from Quote Specification or Quick Quote window.
- Start adding benefit information -> Add Benefit
- Quote String Carryover between Tools (Change mode if not mentioned)
- Save -> Quote Name -> Ok

Save As

Quote Name:

OK Cancel

Quick Quote

Quote Date: 01/15/2002
Purchase Date: 01/15/2002
Assignment: Yes
State: R
Rate Series: 0053 31-23-5301

Single Claimant
First: Mike
Last: Hall
DOB: 01/01/1965
Age: 37
Sex: Female
Role: 37
Multiple/Joint Claimant? No

Benefits

Type	Benefit Amount	Mode	First Pay	Yrs	Mos	End	Incr %	Premium	Fixed/Varied
L	\$2,000.00	M	02/02/2002	1	8	05-1-1/2003	1.00	\$393,876.00	

Clnt	Age	Type	Benefit	Mode	1st Pymt	Yr/Mo	Incr %	Premium
M Hall	37	Life	\$2,000	M	02/02/02	1/8	1.00	\$393,876
M Hall	37	Life	\$1,000	M	02/15/02	20/0	0.00	\$175,162

Guar: \$280,160 Expt: \$2,092,812 Fees: \$300.00 Total Premium: \$569,338.00

Tab to next control

Preview Quick Print Clear Save Quit

FIG 42






Generating a Quote for Partially Integrated Life Company (continued)

- To Export Quote Data; File-> Export
- Select file C:\QIBImpExp\claimantname.exp
-> Click on Save

Export

Quotes Brokers Report Set

Select All Deselect All

	WILLIAM RANDAL JR created:12/10/01 last saved:12/10/01 WILLIAM JR
	Rogers Allen created:12/19/01 last saved:12/19/01 Allen Rogers
	Taylor Kelly created:01/03/02 last saved:01/03/02 Kelly Taylor
	Hall Mike created:01/15/02 last saved:01/15/02 Mike Hall
	HallMike created:01/15/02 last saved:01/15/02 Mike Hall

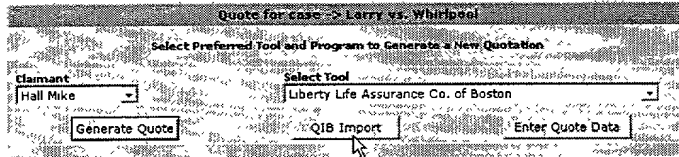
Export Cancel

FIG 43

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Generating a Quote for Partially Integrated Life Company (continued)

- Click on QIB Import



- Click on Browse and select the file to Import; Click on Import -> Close

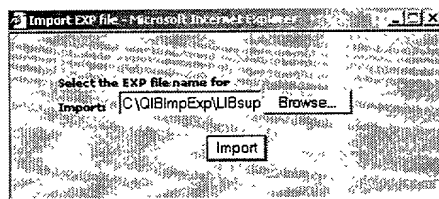
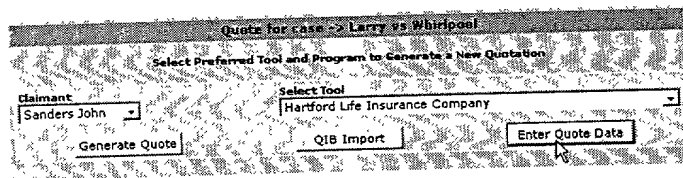


FIG 44

Generating a Quote for not Integrated Life Company (continued)

- Select Claimant & Life Company; Click "Enter Quote Data"



- Copy / Paste the data from Life Company Tool manually (Unfortunately)

FIG 45

2025-03-20 09:42:00

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Generating a Quote for not Integrated Life Company (continued)

- Select the Rate Series; Save the Quote
- Start adding benefits & click "Calculate"; Save at the end

FIG 46

Proposal - Documents (continued)

- Select the Quote & Appropriate Proposal Template; Click "Generate Proposal"

FIG 47

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Cover Letter - Documents (continued)

▪ Creating a Cover Letter to Life Company

Quotes, Proposals and Cover letters

Quote Name	Life Carrier	Status	Benefit	Cover Letter
FoxProCase977316	LIBERTY LIFE	Accepted	\$0.00	Cover Letter
MICHAELSABATINO/2001	Allstate Life Insurance Company		\$202,000.00	Cover Letter

Cover Letter -> CoverLetter12-3-200112-50-59PM.doc
 Proposal Document -> Q_Michael Sabatino12-3-200112-44-45PM.doc

MICHAELSABATINO/2001 Allstate Life Insurance Company \$24,240.00 Cover Letter

Cover Letter for -> Allstate Life Insurance, Company

Select template file [C:\SSO\ezbroker\Template\CoveringLetters\coveringletter.doc]

Generate Cover Letter for

Select Company: Life Carrier Use Mailing Address

Generate Cover Letter Done

FIG. 48

Cover Letter - Documents (continued)

▪ Creating a Cover Letter to Insurance Company

Quotes, Proposals and Cover letters

Quote Name	Life Carrier	Status	Benefit	Cover Letter
FoxProCase977316	LIBERTY LIFE	Accepted	\$0.00	Cover Letter
MICHAELSABATINO/2001	Allstate Life Insurance Company		\$202,000.00	Cover Letter

Cover Letter -> CoverLetter12-3-200112-50-59PM.doc
 Proposal Document -> Q_Michael Sabatino12-3-200112-44-45PM.doc

MICHAELSABATINO/2001 Allstate Life Insurance Company \$24,240.00 Cover Letter

Cover Letter for -> Allstate Life Insurance, Company

Select template file [C:\SSO\ezbroker\Template\CoveringLetters\coveringletter.doc]

Generate Cover Letter for

Select Company: Insurance Company Use Mailing Address

Generate Cover Letter Done

FIG 49

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Post Settlement Document - Documents (continued)**▪ Creating a Post-Settlement Document from Template**

Settlement Documents for Accepted Quotes

Quote Name -> FoxProCase977316 Quote Funding

Settlement Document -> LLAC Application12-3-200112-58-26PM.doc

Settlement Document -> LLAC Application12-3-200112-59-44PM.doc

Select template file LLAC Application.doc Generate Document Doc Tracking

FIG 50

Document Attachment - Documents (continued)**▪ Attaching a Document for e-filing**

- ♦ Any Scanned Data Files
- ♦ Any Electronically Generated Documents (Word, Excel, etc.)

Attachments

Title	File Name	Owner	Remarks	Attach New	Delete
Birth Certificate	Birth Certificate.doc	super super	Scanned Birth Certificate	Click	Click
School Record	School Record.doc	super super	School leaving certificate	Click	Click


Case: SARATINO, MICHAEL

Title:

Reference:

File: Browse

Upload

Uploaded file:  School Record.doc

More Upload Done

FIG 51

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Quote Acceptance Update to Case

- Click on the Quote to view details
- Enter the Date in Quote Accepted Field;
- Don't forget to Save

Quote Modified: 12/3/2001

Quote Name: MICHAEL SABATINO/0001 Created: 12/2/2001 Expires: Purchase: 12/2/2001

Rate Series: 1000000 Effective: 10/10/2001 Ass. Comp: Select one

State: Select One Tax: Ass. Fee: Fees/Expenses:

Michael Sabatino | Upfront Cash

DOB: 11/01/1945 Age: 56 Sex: Female Life Exp: R. Age: 60 Sur. %: 100

Status Information

Special Life Co. Approval Rate Lock-in Accepted date: 10/10/2001 Verified date:

Notes:

Life: Michael Sabatino

Amount \$: \$20,000.00 Mode: Annual No. of Pmts: 4 COLA(%): 0

Start Date: 12/12/2001 Last Pmt. Date: Guaranteed: \$80,800.00 Expected: Premium: \$274,528.00 Delete

Add New Benefit

Benefit Type: Certain Amount \$: Mode: Annual No. of Pmts: COLA(%): 0

Start Date: Last Pmt. Date: Guaranteed: Expected: Premium: Calculate

Save Cancel

FIG 52

Quote Verification Update to Case

- HOCA verifies an accepted Quote
 - Current Rate Series
 - Exception of Special Approval of Life Company
 - Underwriting Guidelines
 - One more rule

SABATINO, MICHAEL

Allstate
We're good friends.

Quote Modified: 12/3/2001

Quote Name: MICHAEL SABATINO/0001 Created: 12/2/2001 Expires: Purchase: 12/2/2001

Rate Series: 1000000 Effective: 10/10/2001 Ass. Comp: Select one

State: Select One Tax: Ass. Fee: Fees/Expenses:

Michael Sabatino | Upfront Cash

DOB: 11/01/1945 Age: 56 Sex: Female Life Exp: R. Age: 60 Sur. %: 100

Status Information

Special Life Co. Approval Rate Lock-in Accepted date: 10/10/2002 Verified date:

Notes:

FIG 53

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Tracking Documents

- Track any document from your e-file

Settlement Documents for Accepted Quotes

Quote Name -> MIKEHALL/2002 Quote Funding

Select template file UGA - AAC doc Generate Document Doc Tracking

Case Name: Case Number: Initial Cash Amount:

Life Company: Allstate Life Insurance Company Date Settled: 2/3/2002 Policy Number:

Premium: \$14,573.00

Are all documents requirement completed? ☐ Documents completion date:

Document Info	Field Office	Sent to H/O	Will be Sent	Received Date	Was Sent	Will Be Sent	N/A
Original Application							<input checked="" type="checkbox"/>
Copy of Application							<input checked="" type="checkbox"/>
Information Form							<input checked="" type="checkbox"/>
Date Sole Case sheet faxed							<input checked="" type="checkbox"/>
Date Sold Case sheet approved							<input checked="" type="checkbox"/>
Purchase Date							<input checked="" type="checkbox"/>
Lock-In Sent							<input checked="" type="checkbox"/>
Approved Lock-In Received							<input checked="" type="checkbox"/>

FIG 54

Communicating with Support, Home Office, Clients & Vendors

- Important Communication Check Box on Contact Information in Diary

Edit Contact Information -> Broker ->> Structured Financial Associates

Contact Category: Private ☒ Acting Broker ☐ Primary Contact

Prefix: First Name: Middle Name: Last Name: Suffix: Title:

Select ANDERSON, PAU

Address

Address1: P O BOX 1808 City: SALT LAKE CITY UTAH Zip: 84110-1808

Address2: State: UT - Utah Country: Select One

Communication

Phone: 801-575-7811 (Day) Phone: (Eve.) Call:

Pager: Fax:

Email: info@sfa-inc.com

Communication Type: ☐ DATA STREAM ☒ E-MAIL ☒ FAX

Notes:

FIG 55

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Generating Reports

▪ Go to Reports Tab to Generate following Reports

- 1. Associates Reports
- 2. Carrier Reports
- 3. Defendant Reports
- 4. Outstanding Reports
- 5. Insurance Company Reports
- 6. Custom Reports

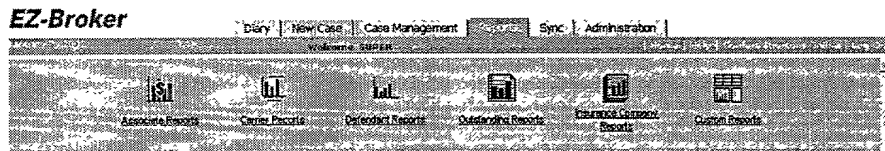


FIG 56

Generating Reports

▪ Standard Report generating Format (Super User or Executive)

Standard Reports Reset

Carrier / Associate / Commission Summary & Detail

<p>Select Associates for this Report</p> <div style="border: 1px solid black; padding: 2px;"> Alexander Brad Bagerella Mary Lynch Broker Oleg Burder Steve Byron Hays </div>	>> > << <	<div style="border: 1px solid black; padding: 2px;"> Adler Warren Bentzen Rhonda Brown Phil </div>
<p>Select Life company's for this report</p> <div style="border: 1px solid black; padding: 2px;"> ABC LIFE COMPANY AETNA LIFE AIG Technical Services ALEXANDER HAMILTON LIFE INS ALLSTATE TREASURY TRUST </div>	>> > << <	<div style="border: 1px solid black; padding: 2px;"> Aegon Financial Services Group Inc. AI LIFE Allstate Life Insurance Company </div>

Date Range: 12/01/2001 to 12/1/2001

Report printing style: ☐ Portrait ☒ Landscape

Report printing Format: ☐ View ☒ Print

Check here for Page Breaks between groups: ☒

FIG 57

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Generating Custom Reports (continued)**Go to Custom Report Generation**

Customized Report

Select Fields

ADJUSTER ASSOCIATE ATTORNEY FIRM CASE NAME CASE STATUS CASE TYPE CLAIMS FILE NUMBER COMMISSION PAID COMMISSION RECEIVED DATE OF LOSS DEFENDANT NAME	MOVE REMOVE	ASSOCIATE CODE AUTHORIZED AMOUNT CASE NUMBER CLAIMANT NAME COMMISSION PAID DATE
--	----------------	---

Goto... NEXT >>

FIG 59

Generating Custom Reports (continued)

Customized Report - Step 2

Select conditions for Report

For date values please enter values in YYYY-MM-DD format

DEMAND AMOUNT <= 20000

MS_BROKERASSOCIATE_TITLE = 'sam'

CASEINFO CASE_CAPTION = 'Iron Hatcher'

ASSOCIATE_COMMISSION NET_ASSOCIATE_PREMIUM_AMOUNT > 10000

Add Condition

Delete Condition

Select Grouping Columns and Aggregates

SUM
AVG
MAX
MIN
COUNT

Of AUTHORIZED AMOUNT
COMMISSION RECEIVED

By ADJUSTER
ASSOCIATE
ASSOCIATE CODE
ATTORNEY FIRM
AUTHORIZED AMOUNT

Add Group

Delete Group

SUM(CASE_INSRER.AUTHORIZED_AMOUNT) BY MS_BROKERASSOCIATE_TITLE
SUM(ASSOCIATE_COMMISSION NET_BC_COMM) BY MS_BROKERASSOCIATE_TITLE

Cancel

Proceed to Step 3

FIG 60

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Generating Reports

- Standard Report generating Format (Associate, Support or Client)

FIG 58

Generating Custom Reports (continued)

FIG 61